SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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)	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

					ssuer Name and Ti GO ENERGY				(Chec	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
(Last) (655 CAMPBELL T	(First) TECHNOLOGY	(Middle) PKWY,			Date of Earliest Trar /26/2024	nsaction	(Mon	th/Day/Year)		X	Officer (give title below) Chief Exec		her (specify low) er		
STE 150					4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) CAMPBELL	СА	95008									 Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City) (Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.													
	Tat	ole I - N	lon-Deriva	ntive	e Securities A	cquire	ed, D	isposed o	f, or B	eneficially	Owned				
1. Title of Security (Instr. 3) Date (Month/Day/Ye					2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities / Disposed Of (5. Amount of Securities Beneficially Owned Following Reported	6. Ownershi Form: Direc (D) or Indire (I) (Instr. 4)	Indirect		
						Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)		
Common Stock 02/26/202						М		14,670	Α	\$0.2571	188,583(1)	D			

Table II - Derivative Securities Acquired Disposed of or Beneficially Owned										
Common Stock								12,689,306	Ι	By Alon Ventures, LLC
Common Stock								1,774,826	Ι	By Revocable Trust
Common Stock	02/27/2024		S		23,905(2)	D	\$1.3576 ⁽⁴⁾	173,913	D	
Common Stock	02/27/2024		М		23,905	Α	\$0.2571	197,818(1)	D	
Common Stock	02/26/2024		S		14,670(2)	D	\$ 1.3574 ⁽³⁾	173,913	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (E	umber vative urities uired or oosed D) (Instr. and 5)	Expiration D	b. Date Exercisable and Expiration Date Month/Day/Year)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$0.2571	02/26/2024		М			14,670	(5)	04/13/2024	Common Stock	14,670	\$0.00	421,883	D	
Stock Option (right to buy)	\$0.2571	02/27/2024		М			23,905	(5)	04/13/2024	Common Stock	23,905	\$0.00	397,978	D	

Explanation of Responses:

1. Includes 173,913 shares of common stock, par value \$0.0001 per share ("Common Stock"), underlying restricted stock units ("RSUs") granted to the reporting person on August 11, 2023 (the "Grant Date") pursuant to the Issuer's 2023 Incentive Plan. One-Third (1/3) of the RSUs shall vest, and an equal number of shares of Common Stock will be deliverable to the reporting person, on each of the first three anniversaries of the Grant Date, subject to continued service through each such vesting date.

2. Represents shares of Common Stock that were sold to satisfy the exercise price and tax withholding obligations due upon the exercise of a stock option that was to expire on April 13, 2024.

3. Represents the weighted average sale price. The shares were sold in multiple transactions at prices ranging from \$1.32 to \$1.39.

4. Represents the weighted average sale price. The shares were sold in multiple transactions at prices ranging from \$1.31 to \$1.40.

5. The stock option was immediately exercisable on April 14, 2014, which was the date of grant.

Remarks:

The reporting person undertakes to provide to Tigo Energy, Inc., any security holder of Tigo Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price, with respect to all transactions reported on this Form 4.

/s/ Bill Roeschlein, as attorneyin-fact 02/28/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.