SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

10.

08/13/2024

11. Nature

3235-0287

0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	STATEMENT OF CHANGES IN DENEFICIAL OWNERSHIP	OMB Number: Estimated average b	32 burden
	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	hours per response:	
	or Section 30(h) of the Investment Company Act of 1940		

1. Name and Add Dillon Jame		g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>TIGO ENERGY, INC.</u> [TYGO]		ationship of Reporting P (all applicable) Director	10% Owner			
(Last) 655 CAMPBE	(First)	(Middle) DLOGY PARKWAY,	3. Date of Earliest Transaction (Month/Day/Year) 08/11/2024	V	Officer (give title below) Chief Marketir	Other (specify below) ng Officer			
STE 150 (Street) CAMPBELL	СА	95008	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Fil Form filed by One Re Form filed by More th Person	eporting Person			
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication						
		Table I Non Dariy	vative Securities Acquired Disposed of or Bene	ficially	Quinad				

Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (4. Securities Acqui Disposed Of (D) (In 6 (Instr. 5)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)		
Common Stock	08/11/2024		F		6,715(1)	D	\$1.26	51,885 ⁽²⁾	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3A. Deemed 3. Transaction 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of

5	Security	or Exercise Price of Derivative Security	if any (Month/Day/Year)	Code (Instr. 8)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				Securities Underlying Derivative Security (Instr. 3 and 4)		Security (Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form:	Beneficial Ownership (Instr. 4)	
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

Explanation of Responses:

1. Represents shares of common stock, par value \$0.0001 per share ("Common Stock") withheld in an exempt disposition to the Issuer under Rule 16b-3(e) to satisfy tax withholding obligations of the reporting person arising out of the vesting of previously reported restricted stock units ("RSUs").

2. Includes 28,985 shares of Common Stock underlying RSUs granted to the reporting person on August 11, 2023 (the "Grant Date") pursuant to the Issuer's 2023 Incentive Plan. One-Third (1/3) of the RSUs initially granted to the reporting person on August 11, 2023 vested and were delivered to the reporting person on August 11, 2024, the first anniversary of the Grant Date, and one-third of the RSUs subject to the grant shall vest and be deliverable to the reporting person on each of the second and third anniversaries of the Grant Date, subject to continued service through each such vesting date.

Remarks:

1. Title of

2.

The reporting person undertakes to provide to Tigo Energy, Inc., any security holder of Tigo Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price, with respect to all transactions reported on this Form 4.

/s/ Bill Roeschlein, as	
attorney-in-fact	

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.