

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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| 1. Name and Address of Reporting Person* <u>Sullivan Jeffrey Stuart</u> (Last) (First) (Middle) <u>655 CAMPBELL TECHNOLOGY PKWY,</u> <u>STE 150</u> (Street) <u>CAMPBELL</u> <u>CA</u> <u>95008</u> (City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol <u>TIGO ENERGY, INC. [TYGO]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Chief Operating Officer</u> |
| | 3. Date of Earliest Transaction (Month/Day/Year) <u>03/07/2024</u> | |
| | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person |
| Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|-------------------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 03/07/2024 | | S | | 3,231 ⁽¹⁾ | D | \$1.3297 ⁽²⁾ | 77,252 ⁽³⁾ | D | |
| Common Stock | 03/08/2024 | | S | | 3,706 ⁽¹⁾ | D | \$1.261 ⁽⁴⁾ | 73,546 ⁽³⁾ | D | |
| Common Stock | 03/11/2024 | | S | | 4,977 ⁽¹⁾ | D | \$1.2333 ⁽⁵⁾ | 68,569 ⁽³⁾ | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|--|-----------------|---|--|--|---|--|
| | | | | Code | V | | Date Exercisable | Expiration Date | | | | | |
| | | | | | | | | | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Represents shares of Common Stock that were sold to satisfy the reporting person's tax withholding obligations in connection with the grant of shares of Common Stock referenced in the reporting person's Form 4 filed on March 6, 2024, as required by the terms of the restricted stock unit agreement. Such sales do not represent discretionary transactions by the reporting person.
2. Represents the weighted average sale price. The shares were sold in multiple transactions at prices ranging from \$1.28 to \$1.36.
3. Includes 56,522 shares of common stock, par value \$0.0001 per share ("Common Stock"), underlying restricted stock units ("RSUs") granted to the reporting person on August 11, 2023 (the "Grant Date") pursuant to the Issuer's 2023 Incentive Plan. One-Third (1/3) of the RSUs shall vest, and an equal number of shares of Common Stock will be deliverable to the reporting person, on each of the first three anniversaries of the Grant Date, subject to continued service through each such vesting date.
4. Represents the weighted average sale price. The shares were sold in multiple transactions at prices ranging from \$1.20 to \$1.33.
5. Represents the weighted average sale price. The shares were sold in multiple transactions at prices ranging from \$1.19 to \$1.28.

Remarks:

The reporting person undertakes to provide to Tigo Energy, Inc., any security holder of Tigo Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price, with respect to all transactions reported on this Form 4.

/s/ Bill Roeschlein, as
attorney-in-fact

03/11/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.