| SEC For | m 4 | | | | | | | | | | | | | | | | | | |
|---|---|--|--|--|---|--|---|--|----------|---------------|-------|---|--|---|---|---|------|--|--|
| | FORM | 4 | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | | | | OMB APPROVAL | | | | |
| X Section obligati | this box if no lo 16. Form 4 or ons may contin ion 1(b). | STATEMENT OF CHANGES IN BENEFICIAL OWNERSH Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | IIP | Estima | OMB Number: 3235- Estimated average burden hours per response: | | | | | |
| 1. Name and Address of Reporting Person [*] LIPMAN JOHN C | | | | | 2. Issuer Name and Ticker or Trading Symbol <u>Roth CH Acquisition IV Co.</u> [TYGO] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | vner | | |
| (Last) (First) (Middle) C/O ROTH CAPITAL PARTNERS, LLC | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/23/2023 | | | | | | | | Officer (give title X Other (specify below) below) former director and officer | | | | | |
| 888 SAN CLEMENTE DRIVE, SUITE 400 | | | | | 4. If Am | Line) | | | | | | | | idual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person | | | | | |
| (Street) NEWPORT CA 92660 BEACH | | | | | | | | | | | | | | | ed by One Reporting Person ed by More than One Reporting | | | | |
| (City) | (5 | State) | (Zip) | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | | | |
| | | Т | able I - No | n-Deriv | ative S | ecurities Acq | uired, | Disp | posed of | f, or | Bene | ficially | Owned | | | | | | |
| Date | | | 2. Trans Date (Month/ | action Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 | | | | 4 and 5) Securities Beneficial Owned Fo | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | | | |
| | | | | | | Code | v | Amount | | (A) or (D) | Price | Price Reported Transaction (Instr. 3 and | | | (| (Instr. 4) | | | |
| Common Stock 05/23 | | | | | 3/2023 | /2023 | | | 496,765 | | D | (1) | 304, | 304,326 | | D | | | |
| | | | | | | curities Acqui IIs, warrants, | | | | | | | wned | | | | | | |
| 1. Title of Derivative Security | ivative Conversion Date Execution D | | 3A. Deemed Execution Da | 4. Transaction | | | 6. Date Exercisable and Expiration Date of Securit (Month/Dav/Year) | | | Securities | | nount 8. Price of 9 Derivative d Security S | | | 10. Ownership Form: | 11. Nature of Indirec Beneficia | | | |

| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | 8) | | Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | (Month/Day/ | rear) | Derivative (Instr. 3 a | Security | (Instr. 5) | Beneficially Owned Following Reported Transaction(s) | Direct (D) or Indirect (I) (Instr. 4) | Ownership (Instr. 4) |
|---|------------------------------------|------------------|------|---|--|--------|---------------------|--------------------|---------------------------|-------------------------------------|------------|--|---|-------------------------|
| | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | (Instr. 4) | | |
| Warrants to purchase common stock | \$11.5 | 05/23/2023 | S | | | 50,901 | (2) | (3) | Common Stock | 50,901 | (1) | 4,502 | D | |
| Warrants to purchase common stock | \$11.5 | 05/25/2023 | Р | | 265,000 | | (2) | (3) | Common Stock | 265,000 | \$0.65 | 269,502 | D | |

Explanation of Responses:

1. The reporting person disposed of (i) 394,963 shares of common stock and (ii) 101,802 units, each unit consisting of one share of common stock and one-half of one warrant, for total consideration of \$552,229.25.

2. The warrants become exercisable 30 days after the consummation of the registrant's initial business combination.

3. The warrants expire 5 years after the completion of the registrant's initial business combination or earlier upon redemption or liquidation, as described in the registrant's prospectus filed with the SEC.

<u>/s/ John Lipman</u> <u>05/25/2023</u>

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.