

☒ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden hours per response:	0.5

1. Name and Address of Reporting Person* <u>Roth Byron</u>  (Last) (First) (Middle) <u>C/O ROTH CAPITAL PARTNERS, LLC</u> <u>888 SAN CLEMENTE DRIVE, SUITE 400</u>  (Street) <u>NEWPORT</u> <u>CA</u> <u>92660</u> <u>BEACH</u>  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>TIGO ENERGY, INC.</u> [ <u>TYGO</u> ]  3. Date of Earliest Transaction (Month/Day/Year) <u>06/14/2023</u>  4. If Amendment, Date of Original Filed (Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner Officer (give title below) <u>X</u> Other (specify below) <u>former director and officer</u>  6. Individual or Joint/Group Filing (Check Applicable Line)  <u>X</u> Form filed by One Reporting Person Form filed by More than One Reporting Person
Rule 10b5-1(c) Transaction Indication  <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Warrants to purchase common stock	\$11.5	06/14/2023		P		100,000		06/22/2023	05/23/2028	Common Stock	100,000	\$0.9052 <sup>(1)</sup>	100,000	I	See Footnote <sup>(3)</sup>
Warrants to purchase common stock	\$11.5	06/15/2023		P		45,208		06/22/2023	05/23/2028	Common Stock	45,208	\$1.0377 <sup>(2)</sup>	145,208	I	See Footnote <sup>(3)</sup>
Warrants to purchase common stock	\$11.5							06/22/2023	05/23/2028	Common Stock	1,350		1,350	D	
Warrants to purchase common stock	\$11.5							06/22/2023	05/23/2028	Common Stock	1,350		1,350	I	See Footnote <sup>(4)</sup>
Warrants to purchase common stock	\$11.5							06/22/2023	05/23/2028	Common Stock	4,285		4,285	I	See Footnote <sup>(5)</sup>
Warrants to purchase common stock	\$11.5							06/22/2023	05/23/2028	Common Stock	348		348	I	See Footnote <sup>(6)</sup>

Explanation of Responses:

1. Represents a weighted average price. These warrants were purchased in multiple transactions at prices ranging from \$0.87 to \$0.99, inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
2. Represents a weighted average price. These warrants were purchased in multiple transactions at prices ranging from \$0.9999 to \$1.05, inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
3. Owned by Eight is Awesome, LLC, over which Byron Roth has voting and dispositive power.
4. Held in an IRA for the benefit of Byron Roth.
5. Owned by CR Financial Holdings, Inc., over which Byron Roth and Gordon Roth have voting and dispositive power.
6. Owned by Roth Capital Partners, LLC, over which Byron Roth and Gordon Roth have voting and dispositive power.

/s/ Byron Roth

06/16/2023

\*\* Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**