## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

·	
OMB Number:	3235-0287
Estimated average burd	len
hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>®</sup> SPLINTER MICHAEL R		2. Issuer Name and Ticker or Trading Symbol <u>TIGO ENERGY, INC.</u> [ TYGO ]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle)		ate of Earliest Transa 08/2024	ction (N	lonth/[	Day/Year)			Officer (give title below)	Othe	r (specify v)		
655 CAMPBELL TECHNOLOGY PKWY, STE 150	4. lf	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) CAMPBELL CA 95008								Form filed by Mo Person				
(City) (State) (Zip)		Rule 10b5-1(c) Transaction Indication     Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A)   Transaction Disposed Of (D) (Instr. 3, 4)   Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
			Code	V Amount (A) or Pr		Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)			

	(month/Day/rear)	(Month/Day/Year)	8)	məu.				Owned Following	(l) (Instr. 4)	Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	01/08/2024		М		46,670 <sup>(1)</sup>	Α	\$0.26	62,974 <sup>(1)</sup>	D	
Common Stock								1,123,656	Ι	See footnote <sup>(2)</sup>
Common Stock								35,000	Ι	See footnote <sup>(3)</sup>
Common Stock								35,000	Ι	See footnote <sup>(4)</sup>
Common Stock								35,000	Ι	See footnote <sup>(5)</sup>
Common Stock								35,000	Ι	See footnote <sup>(6)</sup>

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								•							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		Deri Sec Acq or D of (I	umber of ivative urities uired (A) bisposed D) (Instr. 3, id 5)	6. Date Exerc Expiration D (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Stock Option (Right to Buy)	\$0.26	01/08/2024		М			46,670 <sup>(7)</sup>	(8)	02/03/2024	Common Stock	46,670	\$0	0	D	

## Explanation of Responses:

1. Includes 16,304 shares of common stock, par value \$0.0001 per share ("Common Stock"), underlying restricted stock units ("RSUs") granted to the reporting person on August 11, 2023 (the "Grant Date") pursuant to the Issuer's 2023 Incentive Plan. The RSUs will vest in full, and an equal number of shares of Common Stock will be deliverable to the reporting person, on the first anniversary of the Grant Date, subject to continued service through such vesting date.

(2) The shares reported are owned by the SPLINTER ROBOOSTOFF REV TRUST UAD 1/23/97, for which the reporting person serves as Trustee and exercises investing authority over such shares.
(3) The shares reported are owned by the AMANDA CHRISTINE SPLINTER 2012 IRREVOCABLE TRUST DTD 08/10/2012, for which the reporting person serves as Trustee and exercises investing authority over such shares.

4. (4) The shares reported are owned by the ARCHIE DAVID ROBOOSTOFF 2012 IRREVOCABLE TRUST DTD 08/10/2012, for which the reporting person serves as Trustee and exercises investing authority over such shares.

5. (5) The shares reported are owned by the JOSHUA MICHAEL SPLINTER 2012 IRREVOCABLE TRUST DTD 08/10/2012, for which the reporting person serves as Trustee and exercises investing authority over such shares.

6. The shares reported are owned by the KRISTA DIANE FENSKE 2012 IRREVOCABLE TRUST DTD 08/10/2012, for which the reporting person serves as Trustee and exercises investing authority over such shares.

7. Reflects the number of stock options granted to the reporting person on February 4, 2014, which was reported as 46,667 stock options on the reporting person's Form 4 filed on May 25, 2023. The difference is due to the finalization of the exchange ratio after the Issuer's previously announced business combination.

8. The stock option was immediately exercisable on February 4, 2014, which was the date of grant.

/s/ Bill Roeschlein, as attorneyin-fact \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.